

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	George R. Hood	Examiner:	Andrew Joseph Rudy
Serial No.:	09/608,682	Group Art Unit:	3627
Filed:	June 29, 2000	Docket:	9011
Title:	RISK PROVISION IMPLEMENTATION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM		

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DECLARATION OF GEORGE R. HOOD UNDER 37 C.F.R. § 1.132

I, GEORGE R. HOOD, declare as follows:

1. I am a named inventor on the patent application identified above, and am authorized by the Assignee to make this declaration.
2. I am the sole inventor of the claims in the above-identified patent application.
3. The subject matter disclosed in the publication entitled Cuzley, "Royal Bank Unearths Profitability Solution," which was cited by the Patent Office during the examination of the above-identified patent application, comprises a description of my invention. The publication includes statements concerning the invention that were made by representatives of NCR Corporation, the assignee of the above-identified patent application.
4. I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 7/18/03

  
George R. Hood

**Gates & Cooper** *LLP*

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045

**FAX TRANSMISSION TO USPTO**

TO: Commissioner for Patents  
Attn: Examiner Andrew Joseph Rudy  
Patent Examining Corps  
Facsimile Center  
Alexandria, VA 22313-1450

FROM: George H. Gates  
OUR REF.: 9011  
TELEPHONE: (310) 642-4146

**FAX RECEIVED**

JUL 22 2003

**GROUP 3600****Official**Total pages, including cover letter: 23PTO FAX NUMBER: (703) 872-9326

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	AMENDMENT UNDER 37 C.F.R. §1.111 INCLUDING DECLARATION OF GEORGE R. HOOD
Applicant:	George R. Hood
Serial No.:	09/608,682
Filed:	June 29, 2000
Group Art Unit:	3627
Our Ref. No.:	9011

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation, the assignee of the present application.

By: GHG

Name: George H. Gates  
Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Signature GHGDate 7/21/03

GHG/sjm

G&amp;C 30145.392-US-01

Due Date: July 21, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George R. Hood Examiner: Andrew Joseph Rudy  
Serial No.: 09/608,682 Group Art Unit: 3627  
Filed: June 29, 2000 Docket: 9011  
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RELATIONAL DATABASE MANAGEMENT SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on July 21, 2003.

By: George H. Gates  
Name: George H. Gates

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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GROUP 3600

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.  
☒ Amendment Under 37 C.F.R. §1.111 including Declaration of George R. Hood.

Official

CLAIMS PRESENT

Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
Total Claims				
80	80	0	x \$18.00	= \$0.00
Independent Claims				
3	3	0	x \$84.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

**GATES & COOPER LLP**  
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By: George H. Gates  
Name: George H. Gates  
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GHG/sjm